

Docket No. B0023-US01

02-11-02



SECTOR / \$

**IN THE UNITED STATES**  
**PATENT AND TRADEMARK OFFICE**

In re Application of: **HLAVINKA, et al.**

Serial No.: **10/005,431**

Filed: **11/02/2001**

For: **Fluid Separation Systems and/or Methods**  
**Using A Fluid Pressure-Driven and/or**  
**Balanced Configuration**

Customer Number: **24994**

Group Art No: **1724**

Examiner: **Not yet assigned**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

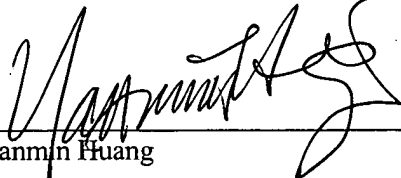
I hereby certify that the following documents:

1. **Certificate of Express Mailing (1 page)**
2. **Transmittal Form (1 page)**
3. **Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)**
4. **Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)**
5. **Response to Notice to File Missing Parts of Nonprovisional Application (1 page)**
6. **Declaration for Utility or Design Patent Application (4 pages)**
7. **Power of Attorney executed by Dennis J. Hlavinka (1 page)**
8. **Power of Attorney executed by Thomas J. Felt (1 page)**
9. **Return Card**

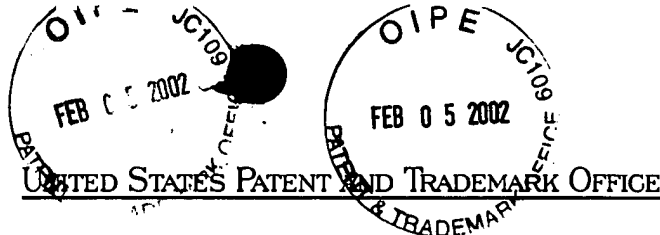
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Yanmin Huang

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,431	11/02/2001	Dennis J. Hlavinka	B0023-US01

CONFIRMATION NO. 8553

24994  
GAMBRO, INC  
PATENT DEPARTMENT  
10810 W COLLINS AVE  
LAKEWOOD, CO 80215

## FORMALITIES LETTER



\*OC00000007252071\*

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

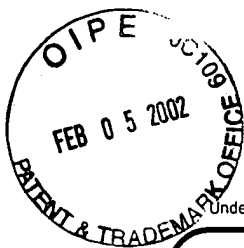
61

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 SZEHDIE1 00000026 032316 10005431

01 FC:105 130.00 CH



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application	10/005431	
	Filing Date	11/02/2001	
	First Named	HLAVINKA, et al.	
	Group Art Unit	1724	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	B0023-US01

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Certificate of Express Mailing, Copy of Notice to File Missing Parts of Nonprovisional Appl., Declarations for Utility or Design Patent Appl., Powers of Attorney, Return card.</b>
Remarks		

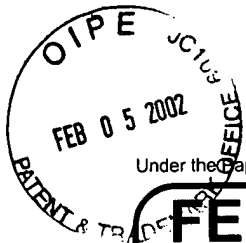
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	February 5, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:			
Typed or printed name		Yanmin Huang	
Signature			
Date		02/05/2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (11-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

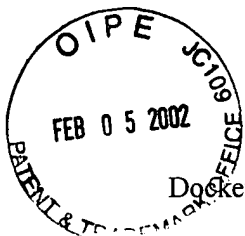
<b>FEE TRANSMITTAL for FY 2002</b> <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		Application Number	10/005431
		Filing Date	11/02/2001
		First Named Inventor	HLAVINKA, et al.
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Not yet assigned
		Group Art Unit	1724
<b>TOTAL AMOUNT OF PAYMENT</b>		Attorney Docket No.	B0023-US01

<b>METHOD OF PAYMENT</b> (check all that apply)		<b>FEE CALCULATION</b> (continued)																																																																																																																																																																																													
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The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.																																																																																																																																																																																															
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unavoidable</td><td></td></tr><tr><td>141</td><td>241</td><td>1,280</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>242</td><td>1,280</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>243</td><td>460</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>244</td><td>620</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>123</td><td>50</td><td>50</td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr><tr><td>126</td><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581</td><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>246</td><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>249</td><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>279</td><td>740</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="5">Other fee (specify)</td><td></td></tr><tr><td colspan="5">SUBTOTAL (3)</td><td>\$130.00</td></tr></tbody></table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	205	130	65	Surcharge - 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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Peter B. Seull	Registration No. (Attorney/Agent)	37932
Signature		Telephone	303-205-2560
		Date	February 5, 2002

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Docket No. B0023-US01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **HLAVINKA, et al.**

Serial No.: **10/005,431**

Filed: **11/02/2001**

For: **Fluid Separation Systems and/or Methods  
Using A Fluid Pressure-Driven and/or  
Balanced Configuration**

Customer Number: **24994**

Group Art No: **1724**

Examiner: **Not yet assigned**

Box Missing Parts  
Commissioner For Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Sir,

In response to the Notice to File Missing Parts mailed January 2, 2002, I have enclosed two executed Declarations and Powers of Attorney. Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Respectfully submitted,

*February 5, 2002*

Date

*Peter B. Scull*

Peter B. Scull

Registration No: 37932

Gambro, Inc.

10810 W. Collins Ave.

Lakewood, Colorado 80215

303-205-2560

Attachment